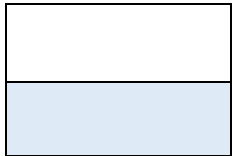


Continuing Professional Education Objectives – Institutional

Legend:



DSI Institutional Investment Information Provider



DSI Institutional Investment Advisor

Topic	Subject	Learning objective
1. Product	1.1 Sustainable and socially responsible investing	1.1.1 The candidate can identify the current developments in the field of the Sustainable Finance Disclosure Regulation (SFDR).
		1.1.2 The candidate can list the differences between Article 8 and 9 products from the SFDR.
		1.1.3 The candidate can explain the role that the integration of sustainability risks can play in the investment portfolio.
		1.1.4 The candidate can list the consequences of legal verdicts in the field of sustainable development (for example: the Shell verdict) for listed companies, and their impact on investments in these companies.
		1.1.5 The candidate can describe the main goals of authoritative international organisations, including the United Nations and the European Union, in the fields of climate and sustainability.
		1.1.6 The candidate can describe the impact of international organisations' goals on an institutional investment portfolio.
2. Market	2.1 Risks	2.1.1 The candidate can describe the possible consequences of dramatically rising inflation on the portfolio, with attention to the duration and impact on interest rates.
		2.1.2 The candidate can list the risks presented by stagflation for investment categories.
	2.2 Monetary developments	2.2.1. The candidate can provide a general explanation of the current ECB policy and its economic and political consequences for investors.
		2.2.2. The candidate can describe which impact the current ECB policy has on clients' investment portfolios.

Topic	Subject	Learning objective
3. Laws and regulations	3.1 Current developments	3.1.1 The candidate can list the current supervisory issues for AFM and DNB, and describe current developments pertaining to these topics.
		3.1.2 The candidate can explain the influence that current developments from learning objectives 3.1.1 have on day-to-day advisory practice.
		3.1.3 The candidate can describe the main differences between the solidarity contribution scheme and the flexible contribution scheme.
		3.1.4 The candidate can explain the differences between the solidarity contribution scheme and the flexible contribution scheme for pension funds and participants.
4. Client	4.1 Integrity of financial markets	4.1.1 The candidate can provide a general description of the consequences of violations of financial integrity for financial enterprises, based on recent real-world examples.
		4.1.2 When in doubt about the integrity of a client, the candidate is able to decide what to do and estimate the consequences for the services provided.
5. Current developments	5.1 Current events	5.1.1 The candidate can describe the current developments that pertain to topics in the DSI learning goals.
		5.1.2 The candidate can explain the current developments that pertain to topics in the DSI learning goals in the context of an institutional investment portfolio.
		5.1.3 The candidate can list the various bodies that issue sanctions relevant to the investment sector.
		5.1.4 The candidate can describe the legal status of the sanctions referred to in learning objective 5.1.3.
		5.1.5 The candidate can explain the impact of the sanctions referred to in learning objective 5.1.3 on the investment portfolio and investment policy.

Additional instructions for trainers:

- The programme presents opportunities for the candidate to display mastery of the skills described in the document 'DSI skills learning goals'.
- At least 10% of the study load should consist of demonstrating or testing skills proficiency.